

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent Application Transmittal

IBM DOCKET NO. END920030025

IBM Corporation  
1701 North Street  
Endicott, NY 13760

03916 U.S. PRO  
10/644295

08/20/03

Sir:

Date: 18 AUG 2003

Transmitted herewith for filing is the **Patent Application** of:

Inventors: Sanjay Gupta  
For: METHOD AND SYSTEM FOR OPTIMIZING DATABASE PERFORMANCE

Enclosed are:

- ☒ 6 sheets of drawings.
- ☒ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- ☒ Declaration and Power of Attorney
- ☒ Information Disclosure Statement

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	Other Than Small Entity	
For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$ 750.00
Total Claims	25-20 =	5	x \$18.00	\$ 90.00
Indep. Claims	4 - 3 =	1	x \$84.00	\$ 84.00
Multiple Dependent Claim Presented			\$280.00	\$ 0.00
			<b>TOTAL</b>	<b>\$ 924.00</b>

- ☒ Please charge Deposit Account No. 09-0457 the amount of \$ 924.00. A duplicate of this form is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0457. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing as required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,  
Sanjay Gupta

### CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 as AExpress Mail Post Office to Addressee@  
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on 8/20/03

Beth Fitzpatrick

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Signature Beth Fitzpatrick Date 8/20/03

FOR: METHOD AND SYSTEM FOR OPTIMIZING  
DATABASE PERFORMANCE

BY: William E. Schiesser  
Attorney/Agent: William E. Schiesser  
Registration No.: 53,559  
Tel.: 607-429-3917

Certification Under 37 C.F.R. 1.10

EXPRESS MAIL

Enclosed for filing is an application entitled METHOD AND SYSTEM FOR OPTIMIZING DATABASE PERFORMANCE for Sanjay Gupta (IBM Docket No. END920030025), along with 20 pages of specification, 5 pages of claims and 1 page of abstract; one set (6 sheets to the set) of formal drawings Figures 1, 2A, 2B, 3, 4 and 5; Declaration and Power of attorney; Information Disclosure Statement; Form PTO-1449 with copies of 4 cited references; copy of Assignment from Inventor to International Business Machines Corporation with patent recordation cover sheet; Self-addressed, stamped return postcard and Certificate of Express Mail.

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Date of Deposit 20th

I hereby certify that these papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop PATENT APPLICATION, P.O. Box 1450, Alexandria, VA 22313-1450.

Beth Fitzpatrick

(Name of Person Mailing Paper)

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